

# Recurrent certainty in games of partial information

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joint work with

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- 2 Why finite imperfect games are hard.
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# An 'Imperfect' Scenario

## The Story

Suppose a teacher gives as homework the following problems

①  $\alpha \vee \gamma$

②  $\beta \vee \gamma$

The next day a student is asked one of these questions. For some reason the student only hears "...  $\vee \gamma$ ". How should the student answer knowing that the teacher is asking one of the homework problems?

How do we model this?

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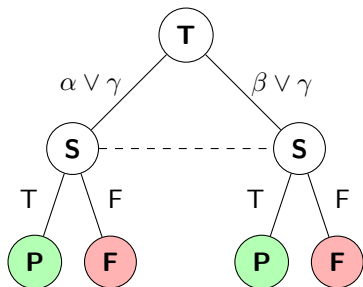
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**How do we model this?**

# An 'Imperfect' Scenario

Model of the story



# Extensive Form Games

## Definitions

A finite **Extensive Form Game** among ' $[n]$ ' players is described by

$$\mathcal{G} := (\mathcal{T}, \text{turn}, (\mathcal{I}_i)_{i \in [n]}, (\succeq_i)_{i \in [n]})$$

where

- $\mathcal{T}$  is a rooted action-labelled finite tree given by  $(v_0, V, E, l)$ ,  
 $l : E \rightarrow A$  labels edges with actions from  $A$
- $\text{turn} : V \rightarrow [n]$  gives the ownership of the nodes of the tree
- $\mathcal{I}_i$ , the information partition of player  $i$  is a partition of  $\{v \in V \mid \text{turn}(v) = i\}$
- $\succeq_i$  gives preferences of player  $i$  over maximal paths (or *plays*) of  $\mathcal{T}$ .

# Extensive Form Games

## Definitions

- A **strategy**  $\sigma_i : \mathcal{I}_i \rightarrow A$  for player  $i$  is a function that assigns an action to every information set  $I_i \in \mathcal{I}_i$ .  
A **strategy profile**  $(\sigma_i)_{i \in [n]}$  is a tuple of strategies, one for each player.
- A **play**  $v_0 a_0 v_1 a_1 \dots a_{n-1} v_n$  is **consistent with strategy**  $\sigma_i$ , if for every  $v_i$  with  $\text{turn}(v_i) = i$   $\sigma_i(I_i) = a_i$  where  $I_i$  is the unique partition containing  $v_i$ .

# Extensive Form Games

## About preferred plays

- A strategy  $(\sigma_i^{dom})$  for player  $i$  is a **dominant strategy** if  $\forall \sigma_i \in \Sigma_i, \forall \sigma_{-i} \in \Sigma_{-i}, (\sigma_i^{dom}, \sigma_{-i}) \succeq_i (\sigma_i, \sigma_{-i})$ .
- If the preference relation is binary or **win-loss**, then dominant strategy is called winning strategy.
- A team or **coalition of players**  $(S \subset [n])$  is said to have a **dominant strategy** if there exists a strategy  $\sigma_i^{dom}$  for each player  $i \in S$  such that
$$\forall (\sigma_i)_{i \in S} \in (\Sigma_i)_{i \in S},$$
$$\forall (\sigma_i)_{i \in [n] \setminus S} \in (\Sigma_{-i})_{i \in [n] \setminus S},$$
$$((\sigma_i^{dom})_{i \in S}, (\sigma_i)_{i \in [n] \setminus S}) \succeq_S ((\sigma_i)_{i \in S}, (\sigma_i)_{i \in [n] \setminus S}).$$
Note that here we assume all players in the coalition have the same preference relation over plays .



# Extensive Form Games

## Questions of interest

- Does there exist a **dominant strategy for player  $i$** ?
- Does there exist a **coordinated winning strategy for a coalition?**

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# Extensive Form Games

## Perfect Recall

In this talk we are interested in determining the existence of coordinated winning strategy for a restricted class of games, namely **games of perfect recall**.

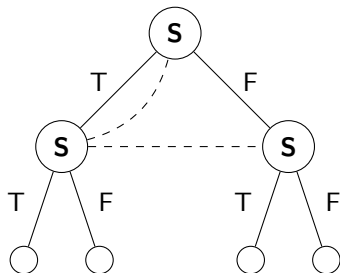
Let  $X_i(v)$  denote the sequence of information sets of player  $i$  that are encountered on the path from  $v_0$  to  $v$ .

A game is said to have **perfect recall** if for each player  $i$ ,  $X_i(v) = X_i(v')$  whenever  $\{v, v'\} \subseteq I_i$  for some  $I_i \in \mathcal{I}_i$ .

# Extensive Form Games

Perfect recall

Example of imperfect recall



# Extensive Form Games

## Perfect information

A game is said to have **perfect information** if for every player  $i$ ,  $\forall I_i \in \mathcal{I}_i$  it holds that  $|I_i| = 1$ .

Perfect information games are 'easy'. Why?

Because winning strategies of subgames can be composed to give winning strategy of the constituent game.

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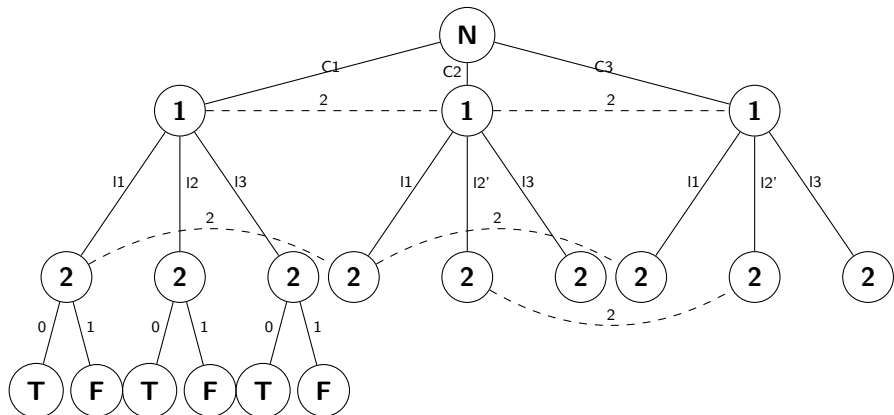


# Extensive Form Games

## Imperfect information games

Imperfect information games are 'hard' because of the lack of compositionality.

Example



# Extensive Form Games

## Infinite games

Infinite games via a finite representation.

**Game graph**

$$\mathcal{A} := (V, A, \delta, (\beta_i)_{i \in [n]}, \text{turn}, v_0)$$

where

- $V$  is a finite set of graph nodes and  $A$  denotes the actions available to players.
- $\delta : V \times A \rightarrow V$  is the transition function on  $V$ .
- $\beta_i : V \rightarrow B_i$  gives the observables for player  $i$  at each state in  $V$  where  $B_i$  is the set of observables for player  $i \in [n]$ .

Additionally we assume that the structure of the arena is common knowledge to all players and that the turn functions are 'layered'.

# Extensive Form Games

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# Extensive Form Games

## Game graph to infinite game

$$\mathcal{A} \rightarrow \mathcal{G}$$

- Game tree is given by the finite plays on the game graph.
- For every play  $\pi := v_0 a_0 v_1 a_1 \dots$ , we define an *i-projection of a play*  $\pi$  as follows  $\beta_i(\pi) := \beta_i(v_0, a_0)\beta_i(v_1, a_1)\dots$  where

$$\beta_i(v_i, a_i) := \begin{cases} \beta_i(v_i) a_i & \text{if } \text{turn}(v_i) = i \\ \beta_i(v_i) \square & \text{otherwise.} \end{cases}$$

This also gives us an **equivalence relation on finite/infinite plays** given by  $\pi_1 \sim_i \pi_2$  iff  $\beta_i(\pi_1) = \beta_i(\pi_2)$ . We'll call this the *uncertainty relation*.

- Information Partition of player  $i$  i.e  $\mathcal{I}_i$  is given by equivalence classes of  $\sim_i$  over finite plays.

# Extensive Form Games

## Example

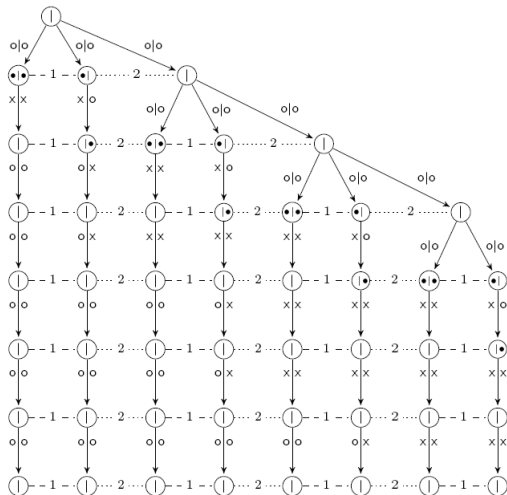


Figure : ★ Borrowed from [1]

# Extensive Form Games

## Winning conditions of infinite games

Winning conditions i.e 'win-loss' preference relation on plays for the team/coalition is given by  $W \subseteq Plays(\mathcal{A})$ .

We choose the following finite representation of winning conditions via  $\gamma : V \rightarrow \mathbb{N}$ .

$$W := \{\pi \in Plays(\mathcal{A}) \mid \liminf_{i \rightarrow \infty} \gamma(v_i) \text{ is odd}\}$$

We additionally impose the restriction that the **winning condition respects observational equivalence** i.e

$\forall \pi_1 \in W$ , if for some  $\pi \in Plays(\mathcal{A})$ ,  $i \in [n]$  s.t  $\beta_i(\pi_1) = \beta_i(\pi)$ , then  $\pi \in W$ .

# Extensive Form Games

Why perfect information games are 'easy'.

- Compositionality of strategies.
- Analysis upto a certain finite level is enough.  
**Memoryless determinacy of parity and mean payoff games: a simple proof:***Henrik Bjorklund, Sven Sandberg, Sergei G. Vorobyov.*



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# Extensive Form Games

Imperfect information games are hard

Existence of a winning strategy for a coalition is 'hard'.

- Peterson-Reif
- Pnueli-Rosner
- Berwanger-Kaiser

# Extensive Form Games

Why imperfect information games are hard

- Ever growing information sets.

Not Really

Since the number of states of the game graph are finite and states are all that determine future possible plays, any equivalence class can be 'shrunk' upto uniqueness.

But then they are easy.

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# Extensive Form Games

Why are imperfect information games hard(The real reason)

- Ever growing **Knowledge Hierarchies**.

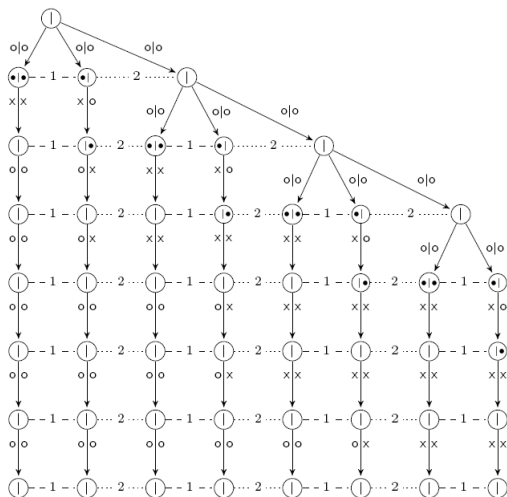


Figure 1. Borrowed from [1]

# Tractable Classes of Imperfect Games

## Investigation

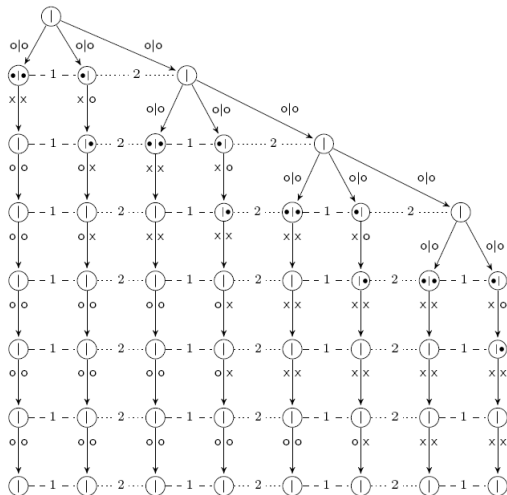


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# Tractable Classes of Imperfect Games

## Possible tweaks

- Limiting Information Sets for players - (A local property)  
'Recurring certainty' for players.
- Limiting Knowledge Hierarchies - (A 'locally global' property)  
'Hierarchic Knowledge' for the system. Studied since Peterson-Reif.

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Two questions about the classes:

- Given a game graph  $\mathcal{A}$ , can we determine whether  $\mathcal{G}$  satisfies the above properties.
- Given that  $\mathcal{A}$  satisfies the condition, can the coordinated winning strategy be determined.

# Recurrent certainty

## Definitions

- **An information set  $I_i \in \mathcal{I}_i$  for player  $i$  is called certain for player  $i$**  if every  $\pi_1, \pi_2 \in I_i$ ,  
 $\beta_i(\pi_1) = \beta_i(\pi_2) \Rightarrow last(\pi_1) = last(\pi_2)$  where  $last(\pi)$  gives the position where the finite play  $\pi$  ends.
- We say that a play is *recurrently certain for player  $i$*  if there are infinitely many information sets of player  $i$  along the play which are certain.

- An *epistemic model* over an arena  $\mathcal{A}$  is a kripke structure  $\mathcal{K} = (K, (\sim_i)_{i \in [n]})$  where  $K \subseteq \text{Plays}(\mathcal{A})$  and  $(\sim_i)_{i \in [n]}$  is the uncertainty relation restricted to plays in  $K$ .

# Recurrent certainty

## Testing Recurrent certainty

### Theorem

*Given a game graph  $\mathcal{A}$ , there is a decidable procedure to test whether recurrent certainty for player  $i$  is guaranteed along every play.*

Why it works:

- Effective representation of information sets via  $i$ -projection of plays.
- Finite witness in plays for uncertain sets.

### Corollary

*Recurrent certainty implies periodic certainty.*



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# Recurrent certainty

## Determining winning strategy

### Theorem

*If given a game graph  $\mathcal{A}$  with the guarantee of recurrent certainty for every play, then "existence of a winning strategy in  $\mathcal{A}$  for a coalition" is a decidable.*

Why it works:

- From the corollary in the last section, there is a finite period in the order of the size of the graph within which every player is guaranteed to be certain at least once. This is enough to prove that the knowledge hierarchies are bounded.
- Reduction to perfect information game with exponential blow-up.

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# Recurrent Hierarchicity

## Definitions

- An epistemic model  $\mathcal{K}$  is said to be  $(i_1, \dots, i_n)$ -*hierarchical* if it holds in  $\mathcal{K}$  that  $\sim_{i_1} \subseteq \sim_{i_2} \dots \subseteq \sim_{i_n}$  where  $(i_1, \dots, i_n)$  is some permutation of  $[n]$ . Therefore a sufficient condition for an epistemic model *not* to be hierarchical for  $(i_1, \dots, i_n)$  is the existence of  $\pi_1, \pi_2 \in \mathcal{K}$  such that for some  $i_m, i_n \in [n]$  with  $\beta_{i_m}(\pi_1) = \beta_{i_m}(\pi_2) \Rightarrow last(\pi) = last(\pi_1)$
- We say that a play is *recurrently hierarchical* if there are infinitely many epistemic models along the play which are hierarchical.

# Recurrent Hierarchicity

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*Given a game graph  $\mathcal{A}$ , there is a decidable procedure to test whether recurrent hierarchicity is guaranteed along every play.*

Why it works:

- Effective representation of epistemic models? We do this by adding a new player who is as uncertain as any player.
- Finite witness in plays for un-hierarchic epistemic models.

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*Recurrent hierarchicity implies periodic hierarchicity.*

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- Why imperfect information games are hard.
- Limiting knowledge hierarchies is the way to go.
- Following this approach to the problem we have two tractable classes:
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- Perfect Recall in a distributed setting.
- In "every" known tractable class, the proof via reduction to perfect information games.

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Why?
- What are the "strategic properties" preserved by this approach?
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Thank You.